ATTORNEY'S DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK Form PTO-1390 OFFICE GC830-US TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) [Unas 10 40 87 **CONCERNING A FILING UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 23 February 2004 17 February 2005 PCT/US2005/005320 (23.02.2004)(17.02.2005)TITLE OF THE INVENTION A Method for the Preparation of a High-Purity Rice Protein Concentrate APPLICANT(S) FOR DOE/EO/US DUNN-COLEMAN, Nigel, 142 Johnson Avenue, Los Gatos, CA 95032, U.S.A. SHETTY, Jayarama K., 4806 Braxton Place, Pleasanton, CA 94566, U.S.A. DUAN, Gang, Block 30, #09-87, Dover Road, Singapore 130030 SUNG, Alex, 164 Lentor Loop #08-03, 789096 Singapore QIAN, Ying, 97-1 #502 Xibei Xinchun, 214036 Wuxi, Republic of China Applicant herewith submits to the United States Designated/Elected Office (do/eo/us) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than 3. delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest 4. claimed priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is transmitted herewith (required only if not transmitted by the International Bureau). a. has been transmitted by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). a. have been transmitted by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. c. have not been made and will not be made A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor/s (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 10. U.S.C. 371(c)(5)). Items 11 to 20 below concern document/s or information included: ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76 14. A substitute specification. 15. A change of power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing according to PCT Rule 13ter.2 and 37 CFR.1.621-1.825 17. A second copy of the published international Application under 35 USC 154(4)(4) 18. A second copy of the English language translation of the Int'l Appln. under 35 USC 154(4)(4) 19. Other items of information: 20.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. Unassigned 🖺 🕯 🦣 PCT/US2005/005320 GC830-US CALCULATIONS PTO USE ONLY The following fees are submitted: 21. \boxtimes \$ 300 **Basic National Fee** \$ 300 22. \boxtimes Examination fee If International Prelim Examination Report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4), examination fee will be waived All other situations \$ 200 \$ 200 23. \boxtimes Search fee Search fee has been paid on the International Application To the USPTO as an International Searching Authority \$ 100 International Search Report prepared and provided To the Office \$ 400 All other situations \$ 500 \$ 400 \$ 900 TOTAL of 21. 22 and 23 Additional fee for specification and drawings filed in paper over 100 sheets (excluding seq listing or computer program listing. The fee is \$250 for each additional 50 sheets of paper or Fraction thereof Total sheets Extra Sheets Number of each additional Rate 50 or fraction thereof (round up to whole number - 100 = /50 = \$250 \$ Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(4)). CLAIMS NUMBER FILED NUMBER EXTRA RATE **Total Claims** 22 - 20 = \$ 100 x \$50.00 Independent 2 3 = 0 claims \$200.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ SUBTOTAL = \$ 100 Processing fee of \$130 for furnishing the English translation later than □20 □30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ \$ TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per **TOTAL FEES ENCLOSED =** \$1,000.00 Amount to be refunded: charged: \$ ☐ A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 07-1048 in the amount of \$1,000 to cover the above fees. b. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any C. overpayment to Deposit Account No. 07-1048. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Lynn Marcus-Wyner GENENCOR INTERNATIONAL, INC. 925 PAGE MILL ROAD PALO ALTO, CALIFORNIA 94304-1013 Lynn Marcus-Wyner Tel. 650-846-7620 Fax 650-845-6504

Registration Number: 34,869

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

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Dunn-Coleman et al.

US Serial No. [please provide]

Based on PCT/US2005/005320, filed 17.02.2005

For: A Method for the Preparation of a High-Purity Rice Protein Concentrate

TRANSMITTAL
OF PATENT APPLICATION
UNDER 35 USC 371

EV514828060US

I hereby certify that this paper and attachments are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated hereunder and is addressed to the *Mail Stop PCT*, Commissioner of Patents, PO Box 1450, Alexandria, VA. 22313-1450

Date: 21 July 2006

By: Carol A See

Mail Stop PCT

Honorable Commissioner of Patents PO Box 1450 Alexandria, VA. 22313-1450

Dear Sir:

Attached, for entering the national phase of International Patent Application PCT/US2005/005320, are the following documents:

- 1. Form PTO 1390 Transmittal Letter to the US DO/EO/US Concerning a Filing under 35 USC 371;
 - 2. International Patent Publication WO 2005/082155

Respectfully submitted,

GENENCOR INTERNATIONAL, INC.

Date: 21 July 2006

By:

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